



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,863	04/06/2001	Youichi Sawachi	3562-0114P

2292  
BIRCH STEWART KOLASCH & BIRCH  
PO BOX 747  
FAIRFAX, VA 22040-0747

CONFIRMATION NO. 3207

## FORMALITIES LETTER



\*OC000000006062118\*

Date Mailed: 05/10/2001

O **NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**JUN 28 2001  
PATENT & TRADEMARK OFFICE  
FILED UNDER 37 CFR 1.53(b)*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

*Jen Ma*  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

**BOX MISSING PARTS**  
**PATENT**  
**3562-0114P**

A circular stamp with the text "O I P E" at the top and "J C B 8 8" at the bottom. In the center, it says "JUN 28 2001". Around the bottom edge, it reads "PATENT TRADE MARK OFFICE" and "Applicant".

IN THE U. S. PATENT AND TRADEMARK OFFICE

Applicant: Youichi SAWACHI Conf.: 3207  
Appl. No.: 09/826,863 Group: Unassigned  
Filed: April 6, 2001 Examiner: Unassigned  
For: PORTABLE MULTI-FUNCTION APPARATUS AND  
CONTROLLER

**THIS IS NOT A NEW PATENT APPLICATION**

LETTER SUBMITTING DOCUMENTS  
FOR COMPLETION OF AN APPLICATION  
PURSUANT TO 37 C.F.R. § 1.53(f)

## BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, DC 20231

June 28, 2001

Sir:

The application papers for the above-identified application were originally filed on April 6, 2001 and the application was assigned Application No. 09/826,863.

Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) ( original  photocopy), necessary for completing the filing requirements in connection with the above-identified application.

Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on , including any amendments thereto (if applicable) filed on even date therewith.

The undersigned hereby declares that "Attorney Docket No. 3562-0114P on page 1 of the inventors' Declaration, filed on June 28, 2001, corresponds to Appl. No. 09/826,863, filed April 6, 2001, entitled "PORTABLE MULTI-FUNCTION APPARATUS AND CONTROLLER."

Attached are \_\_\_\_\_ (\_\_\_\_) sheet(s) of formal drawings.

Attached is a copy of the Notice to File Missing Parts of Nonprovisional Application.

The Government Filing Surcharge (37 C.F.R. § 1.16(e)) and the basic Government Filing Fee (37 C.F.R. § 1.16(a)-(d)) (if applicable) is attached hereto and calculated as follows:

Filing Fee \$0.00

Surcharge ( Large Entity - \$130.00;  
 Small Entity - \$ 65.00)

Attached hereto is a Statement Claiming Small Entity Status  
( original  photocopy).

Submitted concurrently herewith **under separate cover** for recording is an Assignment.

No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Nonprovisional Application. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

Application No. 09/826,863  
Docket No.: 3562-0114P

Applicant hereby respectfully petitions for a ( ) month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

A check in the amount of \$130.00 to cover the basic filing fee, surcharge fee, and any extension of time fees (if applicable) is enclosed.

Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By

  
Donald J. Daley, Reg. No. 34,313

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DJD:kna

Attachments

(Rev. 04/19/2000)